

Synaptic Signaling in Learning and Memory

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SUMMARY

Learning and memory require the formation of new neural networks in the brain. A key mechanism underlying this process is synaptic plasticity at excitatory synapses, which connect neurons into networks. Excitatory synaptic transmission happens when glutamate, the excitatory neurotransmitter, activates receptors on the postsynaptic neuron. Synaptic plasticity is a higher-level process in which the strength of excitatory synapses is altered in response to the pattern of activity at the synapse. It is initiated in the postsynaptic compartment, where the precise pattern of influx of calcium through activated glutamate receptors leads either to the addition of new receptors and enlargement of the synapse (long-term potentiation) or the removal of receptors and shrinkage of the synapse (long-term depression). Calcium/calmodulin-regulated enzymes and small GTPases collaborate to control this highly tuned mechanism.

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1 INTRODUCTION

The function of the brain is to process and store information about the environment and direct behavior in response to that information. Three major cell types in the brain—excitatory neurons that use glutamate as their transmitter, inhibitory neurons that use γ -aminobutyric acid (GABA) as their transmitter, and glial cells—work together to respond to the environment while maintaining the overall connectivity among neurons within an acceptable homeostatic range. Excitatory neurons, the most numerous in the brain, each receive thousands of synaptic inputs and, in turn, make thousands of synaptic connections onto other neurons. A human brain contains, on average, 86 billion neurons (Herculano-Houzel 2009) that in toto make trillions of synaptic connections.

A typical cortical excitatory neuron (Fig. 1) comprises a neuronal soma (cell body), several branched dendrites, and a single axon that can extend for many millimeters and often branches to make thousands of individual synaptic connections. The soma is the site of the nucleus and most of the neuron's protein synthetic machinery. Most inhibitory synaptic contacts occur on the somal plasma membrane. In contrast, the highly branched dendrites receive most of the excitatory synaptic contacts, which are made onto small membrane protuberances called dendritic spines (Fig. 1). When the neuronal membrane becomes depolarized to a threshold level, an action potential is initiated at the base of the axon near the soma; this wave of depolarization travels unabated to each of the thousands of presynaptic endings along the axon. Depolarization causes membranous synaptic vesicles within the presynaptic terminals to fuse with the plasma membrane at the “active zone” opposite the postsynaptic site and flood the synaptic cleft with neurotransmitters. Some of the transmitter molecules bind to specific receptors, which are ligand-gated channels in the postsynaptic membrane. Sodium and potassium ions flow through the channels of the activated receptors, decreasing the gradient in their concentration across the membrane and thus producing a localized depolarization called an excitatory postsynaptic potential (EPSP). If the synapse fires repeatedly, or if several different synapses on a neuron fire at the same time, the EPSPs can sum to produce a depolarization that extends to the soma and initiates an action potential.

Information is stored when individual synapses that connect a particular group of neurons become more able (or less able) to generate an action potential in the postsynaptic neuron in response to environmental signals. Memories are stored initially in the hippocampus, where synapses among excitatory neurons begin to form new circuits within seconds of the events to be remembered. An

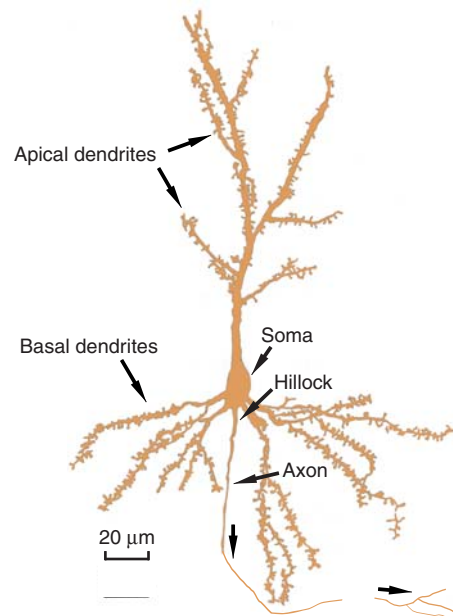


Figure 1. Features of excitatory neurons in the brain. The cell body (soma) of a typical excitatory pyramidal neuron is $\sim 10 \mu\text{m}$ in diameter and is located in one of several sheets of tightly packed somas that define the layers of the neocortex and hippocampus. Apical and basal dendrites extend from the soma, reaching into adjacent areas that are referred to as neuropil. Postsynaptic structures are located in tiny membrane protuberances called spines that can be seen along the dendrites. Each soma gives rise to one axon, which has a smaller diameter than the numerous dendrites. The axon can extend for millimeters from the soma and branches to form thousands of presynaptic terminals where transmitter is released onto the postsynaptic sites of other neurons. The axon hillock is located at the base of the axon. Action potentials are usually initiated at this site; they travel along the axon (arrows) to presynaptic terminals keeping a uniform amplitude of depolarization. Back-propagating action potentials travel in the opposite direction through the soma and into the dendrites. The size of their depolarization decreases as they travel and is regulated by the composition of dendritic ion channels. (From Peters and Kaiserman-Abramof 1970; modified, with permission, © Wiley.)

increase in the strength of a relatively small number of synapses can bind connected neurons into a circuit that stores a new memory. A deceptively simple principle guides the direction and amplitude of this synaptic plasticity: neurons that fire together, wire together. When release of transmitter at a synapse is repeatedly correlated with firing of action potentials in the postsynaptic neuron, they become stronger. In contrast, when release of transmitter at a synapse repeatedly fails to correlate with postsynaptic firing, because the resulting EPSPs do not sum to produce the required threshold depolarization, they become gradually weaker and may disappear altogether. Essentially all of the glutamatergic synapses between excitatory neurons in the hippocampus and cortex of the mammalian brain display this behavior. Such synapses are referred to as Hebbian

synapses, after Donald Hebb, who first suggested a similar principle in 1949. Nonglutamatergic synapses do not display this Hebbian behavior. The unique plasticity of excitatory glutamatergic synapses is an essential mechanism of memory formation. Glutamatergic synaptic plasticity, and thus memory formation, can be modulated by release of the inhibitory transmitter GABA and by the influence of acetylcholine, biogenic amines, small peptides, and larger protein hormones released from neurons and glial cells; but synapses that release these other transmitters do not display Hebbian behavior.

The ongoing pattern of electrical activity through Hebbian synapses influences cellular processes in the postsynaptic neuron at many time scales. In a few seconds, changes can be triggered in the structure of the postsynapse itself. Over minutes, the summation of synaptic activity can result in increased levels of the classical second messengers cAMP and calcium and activation of the mTOR and MAPK pathways (Kennedy et al. 2005), leading to up-regulation of translation of mRNAs stored in the dendritic shaft near active synapses (Ho et al. 2011). Local translation is believed to provide proteins needed for remodeling of synapses and dendrites in response to high synaptic activity. Over a few hours, activity-dependent nuclear transcription factors stored near the synapse can become activated and travel from the synapse into the nucleus (Flavell and Greenberg 2008; Ch'ng and Martin 2011). These changes in dendritic protein synthesis and in nuclear transcription can influence the structure of the neuron and its role in neuronal networks for hours, days, or a lifetime. For example, new ion channels may be transcribed and inserted into the membrane to change the intrinsic electrical firing pattern of the neuron, or the overall production of excitatory receptors may be dampened to maintain homeostatic balance.

Here I focus on the specialized machinery that underlies Hebbian behavior of synapses between excitatory neurons. At this time, signal transduction involved in memory formation is best understood, although still incompletely, for the early phases of plasticity.

2 SPINE SYNAPSES

In the brain, most synapses between excitatory neurons are located on spines, tiny compartments that protrude from the neuron's highly branched dendrites (Fig. 2). A typical excitatory pyramidal neuron in the hippocampus or cortex has ~10,000 such synapses, most spines hosting just a single synapse. Spines vary in size from ~0.5–2 μm in length, from ~0.25–1 μm in width, and from ~10–100 attoliters in volume. The synaptic contact itself usually occurs at the tip of the spine. It comprises the presynaptic active zone, the synaptic cleft, and the postsynaptic receptor cluster and

varies in diameter from ~0.1 to 0.8 μm . Spines also vary in shape from stubby to thin to “mushroom-shaped.” In general, the larger, mushroom-shaped spines contain stronger synapses. Functionally, a stronger synapse is defined as one that contributes more depolarization to the neuronal membrane upon activation than a weaker one; thus, its activation is more likely to generate an action potential in the postsynaptic neuron.

The postsynaptic membranes of spine synapses contain two distinct types of ligand-gated channels that are receptors for the neurotransmitter glutamate but are distinguishable by their ability to respond to pharmacological agents. For 2-amino-3-(3-hydroxy-5-methyl-isoxazol-4-yl) propanoic acid (AMPA)-type glutamate receptors (AMPA receptors), binding of glutamate triggers a small, relatively rapid EPSP, resulting from an influx of potassium and sodium ions. AMPA-type receptors produce an EPSP each time glutamate is released at a synapse. The other class, *N*-methyl-D-aspartate (NMDA)-type glutamate receptors (NMDARs), are evolutionarily related to AMPARs but are more complex; they are responsible for the Hebbian behavior of spine synapses (Mayer et al. 1984; Nowak et al. 1984). As I discuss in detail below, the channel in NMDARs allows passage of calcium ions, as well as potassium and sodium, and opens only if two conditions are met: glutamate is bound and the synaptic membrane is strongly depolarized, as occurs when release of transmitter is correlated with firing of action potentials in the postsynaptic neuron.

Synaptic plasticity is most often studied by recording electrical responses from synapses of the Schaffer-collateral pathway, which connects two different sets of excitatory neurons in the hippocampus (Lüscher and Malenka 2012). Repeated activation of this pathway, and thus its spine synapses, at a frequency between ~10 and 100 Hz for a few seconds usually initiates a process referred to as long-term potentiation (LTP), in which the activated synapses increase in size and more effectively depolarize the postsynaptic membrane. In contrast, activation of these synapses at a lower frequency, between ~1 and 5 Hz, for several minutes usually initiates a process called long-term depression (LTD), in which the activated synapses decrease in size and less effectively depolarize the postsynaptic membrane. The change in strength of the synapses can last for hours to a lifetime, depending on how often the stimulation is repeated.

Intriguingly, although these processes have opposite effects on the strength of the synapse, both are controlled by the influx of calcium caused by activation of NMDARs. Differences in the timing and amount of calcium entry into the spine through NMDARs account for the opposite outcomes after stimulation of synapses in the two different

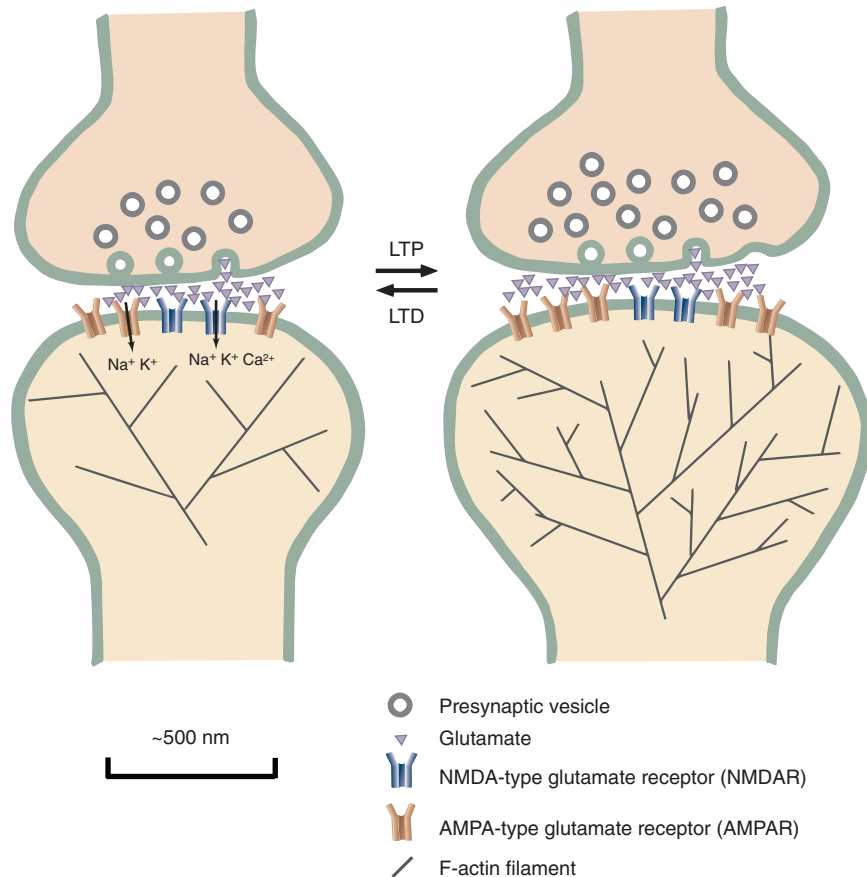


Figure 2. Synaptic plasticity. At the cellular level, one of the most essential elements of memory formation is the adjustment in synaptic strength of excitatory synapses between neurons. AMPA-type glutamate receptors (yellow) allow passage of sodium and potassium through their channel. Their principal function is to depolarize the membrane, producing an excitatory postsynaptic potential (EPSP). NMDA-type glutamate receptors (blue) also depolarize the membrane, but in addition to sodium and potassium, calcium flows through their channel and can initiate synaptic plasticity. A long-lasting increase in synaptic strength is referred to as long-term potentiation (LTP). LTP involves the addition of new synaptic AMPA-type glutamate receptors (AMPA) and an increase in the size of the head of the postsynaptic spine, supported by an increase in the size and branching of the actin cytoskeleton. Long-term depression (LTD) is a long-lasting decrease in synaptic strength that involves a decrease in the number of synaptic AMPARs and shrinkage of the spine head. LTP is induced when repeated firing of an action potential in the presynaptic terminal and the resulting release of glutamate cause firing of action potentials in the postsynaptic neuron. LTD is induced when repeated firing of an action potential in the presynaptic terminal does not cause firing of action potentials in the postsynaptic neuron.

frequency ranges (Franks and Sejnowski 2002; Sjostrom and Nelson 2002). The higher frequency, larger amplitude influx of calcium leads to two important molecular changes in the spine synapse over the next 15–60 s (Fig. 2). More AMPARs are inserted into the postsynaptic membrane, increasing the size of the EPSP in the spine upon subsequent synaptic activation. At the same time, the actin cytoskeleton, which gives the spine its shape, is remodeled, producing a larger and more branched cytoskeleton that supports a larger spine head. In contrast, lower frequency, lower amplitude, but more prolonged influx of calcium produces the opposite effect on the spine. The number of

AMPA receptors in the postsynaptic membrane is reduced, resulting in a smaller EPSP upon subsequent activation, and the actin cytoskeleton shrinks, leaving a smaller spine head. We do not yet know precisely how this delicate differential regulation by calcium influx is achieved. However, much has been learned about the molecular machinery that is responsible.

Although NMDARs initiate synaptic plasticity, their numbers are not altered by the processes that alter AMPA receptor numbers during LTP or LTD. A variety of modulatory agents in the brain can adjust the flux of calcium through NMDARs (Salter and Kalia 2004) or the frequency

range at which the switch between LTP and LTD occurs, a process called metaplasticity (Abraham and Bear 1996; Yang et al. 2012). Homeostatic regulation can lead to slow changes in the steady-state levels of NMDARs and AMPARs; however, these changes are not directly related to storage of memories.

3 SIGNALING MOLECULES IN SPINE SYNAPSES

3.1 The NMDA-Type Glutamate Receptor and the Hebbian Response

Both AMPARs and NMDARs are homologous tetramers arranged such that a channel is formed by the intersection of their intramembrane domains (Mayer 2006). Binding of glutamate to two sites on the extracellular portion of the receptor opens the channel. However, the similarity between AMPARs and NMDARs ends there. Opening of the AMPAR channel produces the predictable rapid EPSP (Seeburg 1993). In contrast, opening of the NMDAR channel alone is not sufficient to produce depolarization because the mouth of the channel is blocked by a bound magnesium ion, which acts like a cork in a bottle. Ions can flow through the open channel of the NMDAR only if the membrane is sufficiently depolarized to loosen the binding of the magnesium ion and relieve the “magnesium block” (Ascher and Nowak 1988).

One event that can depolarize the spine membrane sufficiently is a “back-propagating action potential,” a type of dendritic depolarization that was discovered relatively recently (Spruston et al. 1995; Magee and Johnston 1997; Stuart et al. 1997; Magee et al. 1998). Axonal action potentials are initiated near the neuronal cell body at the base of the axon, where the threshold for triggering an action potential is lowest. They then propagate to synapses at the end of the axon in a nondecremental fashion; that is, the size of the depolarizing wave does not decrease as it moves along the axon. Dendritic action potentials are also believed to begin at the base of the axon, but they are decremental, decreasing in size as they back-propagate from the base of the axon through the cell body and into the dendrites. The size of the back-propagating potential and the length that it travels depend on the configuration of potassium and sodium ion channels in each dendrite. However, the depolarization produced can be sufficient to relieve the magnesium block at spines along the dendrite. Therefore, the coincidence of glutamate binding to receptors at a spine and the arrival of a back-propagating action potential will allow the NMDAR channels to open. Electrophysiologists still debate whether there are additional circumstances associated with firing of the postsynaptic neuron that result in strong local depolarization of dendrites during synaptic

activity. Nonetheless, it is clear that when a synapse repeatedly contributes to the triggering of postsynaptic action potentials, NMDARs in that synapse will be powerfully activated.

A second important difference between NMDARs and AMPARs is the mixture of ions that flow through their channels. Most AMPARs only allow passage of sodium and potassium ions, which produces depolarization. In contrast, calcium passes through NMDAR channels along with sodium and potassium (MacDermott et al. 1986) and acts as a second messenger in the spine. NMDARs stay activated for several tens of milliseconds, during which the channel flickers open in short bursts, partly because magnesium bounces in and out of the mouth of the channel and partly because opening and closing of any protein channel is stochastic in nature. As a result, calcium flows into the spine in irregular bursts, for tens of milliseconds, and is rapidly pumped out by calcium-ATPases and sodium/calcium exchangers (see Bootman 2012). Proteins in the spine cytosol that are sensitive to calcium are thus subjected to rapidly fluctuating levels of the ion that may never reach a stable equilibrium as long as the channel is open. In contrast, AMPARs are active for just a few milliseconds and produce only a brief, transient depolarization.

Finally, NMDARs contain very long carboxy-terminal “tails” (~600 residues in length) that extend into the cytosol and help to organize the postsynaptic signaling machinery. In adults, the tetrameric NMDAR is assembled from mixtures of five receptor subunit isoforms: GluN1, GluN2A, GluN2B, GluN2C, and GluN2D (Kutsuwada et al. 1992; Monyer et al. 1992). Each individual receptor contains two GluN1 subunits, which are necessary for formation of the channel, and a pair of GluN2 subunits (Furukawa et al. 2005; Mayer 2006), which contribute the long cytosolic tails. The carboxy-terminal tail of GluN1 is shorter (~100–120 residues) compared with those of the GluN2 subunits. In the hippocampus and cortex, the predominant GluN2 subunits are GluN2A and GluN2B (Monyer et al. 1994). Their 600-residue tails associate with distinct but overlapping sets of signaling enzymes and scaffold proteins (Foster et al. 2010). Both of them can associate with the primary postsynaptic density scaffold protein PSD95 and with calcium-/calmodulin-dependent protein kinase II (CaMKII); however, the affinity of CaMKII for GluN2B is considerably higher than for GluN2A (Gardoni et al. 2001).

3.2 Calcium-Regulated Signaling Enzymes in the Postsynaptic Density

The postsynaptic density (PSD) is the name that was given by electron microscopists to a densely staining plaque of proteinaceous material attached to the cytosolic face of the

postsynaptic membrane opposite presynaptic vesicle release sites at excitatory synapses. Subcellular fractionation and biochemical analyses (see Kennedy 1997) revealed that it contains specialized scaffold proteins that physically link NMDARs, AMPARs, and signaling enzymes responsible for synaptic plasticity (Kennedy 2000; Sheng and Kim 2011).

About eight calcium-sensitive enzymes reside in significant numbers in or near the PSD, although some appear to have a more central role in synaptic plasticity than others (Table 1). CaMKII and the phosphoprotein phosphatase calcineurin (also known as PP2B) are required for NMDAR-dependent induction of LTP and LTD, respectively. The others participate in signaling pathways that can regulate synaptic function in response to modulatory agents, such as acetylcholine, biogenic amines, or neuropeptides.

3.2.1 CaMKII

CaMKII makes up ~1% of total protein in the forebrain and ~2% in the hippocampus (Bennett et al. 1983; Erondu and Kennedy 1985). These high levels of expression, at least 10 times higher than those of other signaling enzymes, are a specialization of excitatory neurons, which represent most of the mass of the forebrain (Sik et al. 1998). CaMKII is present throughout the cytosol of somas, axons, and dendrites, including spines in which it is present both in the cytosol and the PSD (Kennedy et al. 1983; Chen et al. 2005; Khan et al. 2012). Activation of synaptic NMDARs increases association of CaMKII with spines and the PSD; however, the role and mechanism of this translocation are still incompletely understood (Khan et al. 2012).

CaMKII is a complex holoenzyme, the structure of which has interesting consequences for the dynamics of its activation by calcium/CaM (Fig. 3). Each holoenzyme comprises 12 catalytic subunits held together by their carboxy-terminal association domains (Bennett et al. 1983; Kolb et al. 1998; Rosenberg et al. 2005, 2006). Mammalian

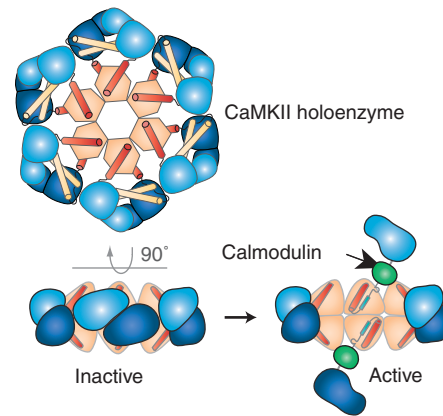


Figure 3. CaMKII. CaMKII is a ring of six dimers of calcium-/calmodulin (CaM)-activated catalytic subunits. The subunits are bound together by a central “hub” structure (light orange) formed from the carboxy-terminal association domains of each subunit. The inactive dimers (light and dark blue) are docked against the central hub by interactions among helices in the association domains (red) and residues in their inhibitory domains (light yellow). Binding of activated calmodulin to the subunit dimers is cooperative because binding to one subunit dissociates the dimer and makes the other subunit more available for calmodulin binding. The activated subunits are mobile and, in addition to phosphorylating other synaptic proteins, they can autophosphorylate each other at a critical threonine residue that locks the subunit in an active state until it is dephosphorylated by phosphatase 1 or phosphatase 2A. (From Rosenberg et al. 2005; modified, with permission, © Elsevier.)

genomes encode four highly similar CaMKII subunits: α , β , γ , and δ (Gaertner et al. 2004). They can form stable homo-oligomers or hetero-oligomers that contain differing numbers of each isoform; the numbers depend on their relative rates of synthesis. Their major sequence differences occur in the linker region between the catalytic and association domains. Only the α and β subunits are highly expressed in brain; and the α subunit is only expressed in neurons. In forebrain, the α : β ratio is ~3:1 (Bennett et al. 1983). Thus, the unusually high levels of CaMKII in forebrain are primarily a result of the level of expression of the α subunit.

Atomic structures of holoenzymes from *Caenorhabditis elegans* (Rosenberg et al. 2005) and human (Rellos et al. 2010; Chao et al. 2011) revealed that the 12 subunits are arranged in two closely apposed rings (Fig. 3). The association domains are located at the center of the ring and the catalytic domains are around the outside. When the enzyme is inactive, each catalytic domain in the upper ring forms a dimer with a corresponding subunit in the lower ring. Binding of calcium-CaM to one of the subunits in a dimer activates that subunit and frees its partner, increasing its availability to bind calcium-CaM. The magnitude of the resulting cooperativity for binding of calcium-CaM depends on the nature of the subunit dimer interface and

TABLE 1. Calcium-sensitive enzymes in or near the PSD

Calcium/CaM regulated

Calcium-/calmodulin-dependent protein kinase II (CaMKII)
Calcineurin (also known as protein phosphatase 2B)
Calcium/CaM-stimulated adenylyl cyclase (AC1)
Neuronal nitric oxide synthase (nNOS)
Calcium/CaM-dependent phosphodiesterase (PDE1)
Ras-GRF1/2

Calcium regulated

Protein kinase C (PKC)
Calpain protease

the length of the linker region between the catalytic and association domains (Chao et al. 2010, 2011). Thus, the sensitivity of CaMKII to activation by calcium is highly tuned, differing among different species and among individual isoforms in the same species.

When CaMKII is inactive, an inhibitory domain blocks the substrate-binding site. Upon activation by calcium-CaM, CaMKII subunits become autophosphorylated. The first autophosphorylation occurs on a threonine residue (T286) in the inhibitory domain, preventing its binding to the substrate-binding site. The phosphothreonine keeps the active site open even after the calcium concentration returns to baseline and calcium-CaM dissociates (Miller and Kennedy 1986; Miller et al. 1988; Schworer et al. 1988). This first autophosphorylation event is intersubunit; occurring when one activated subunit in the holoenzyme binds to and phosphorylates the inhibitory domain of a neighboring subunit (Hanson et al. 1994). The target subunit must also have bound calcium-CaM so that its inhibitory domain is exposed (Fig. 3) (Hanson et al. 1994; Rellos et al. 2010). Thus, conversion of CaMKII to a calcium-independent state depends on the square of the active CaM concentration. This combined cooperativity of CaM binding and CaM-induced autophosphorylation contributes to the dependence of CaMKII activity on the frequency of calcium influx into the dendritic spine (Chao et al. 2010).

A second autophosphorylation occurs on threonine residues located in the CaM-binding domain (T305 or T306) and blocks binding of calcium-CaM to the kinase (Patton et al. 1990). This event desensitizes CaMKII to subsequent activation by calcium/CaM. Reversal of calcium-independent activity and alleviation of desensitization requires dephosphorylation of the respective sites by protein phosphatase PP1 or PP2A (Shields et al. 1985). Thus, the duration of activation of CaMKII at the postsynaptic site by synaptic activity is regulated reversibly by the magnitude of the transient formation of calcium-CaM during synaptic activity and by local regulation of protein phosphatase activity, which is incompletely understood.

The earliest evidence for a role of CaMKII in synaptic plasticity came from pharmacological experiments in which inhibitors of protein kinases injected postsynaptically blocked induction of LTP (e.g., Malinow et al. 1989). However, definitive evidence for its involvement was obtained when disruptions in learning behavior and synaptic plasticity were observed in a series of mouse mutants. Deletion of the α subunit of CaMKII, for example, results in a deficiency in LTP and impaired spatial learning (Silva et al. 1992a,b). Remarkably, mutation of T286 to alanine in the α subunit abolishes LTP and spatial learning altogether, establishing that autophosphorylation of T286 in CaMKII plays a central role in induction of LTP (Giese et al. 1998).

The concentration of CaMKII in spines and the PSD is highly variable, and regulated by synaptic activity. Estimates of the number of holoenzymes in an average spine (64 attoliters in volume) vary from ~ 200 to ~ 1000 (Bennett et al. 1983; Erondy and Kennedy 1985; Lee et al. 2009). Estimates of the number of CaMKII holoenzymes in an average PSD are more variable, ranging from ~ 10 to ~ 100 (Chen et al. 2005; Ding et al. 2013). Synaptic activity has been observed to recruit CaMKII to postsynaptic sites, although the mechanism of this movement is not clear (Shen and Meyer 1999). Once in the spine, CaMKII can attach to several docking sites: F-actin filaments, which bind a site on the β subunit (Shen et al. 1998); the cytosolic tails of NR2 subunits of NMDARs (Leonard et al. 2002), which bind a site on both the α and β subunits; and a scaffold protein termed densin (Walikonis et al. 2001; Carlisle et al. 2011), which binds specifically to α subunits. The significance and precise dynamics of these regulated movements of the CaMKII holoenzyme remain to be determined.

The spine and PSD contain many potential targets for phosphorylation by CaMKII, including AMPAR subunit GluA1, the neuronal GTPase-activating protein (GAP) synGAP, and AMPAR-associated transmembrane AMPAR regulatory proteins (TARPs) (see below), all of which are important for orchestrating the early stages of synaptic plasticity. However, the precise timing and coordination of phosphorylation events following influx of calcium are unknown.

3.2.2 Calcineurin

Calcineurin is a calcium/CaM-activated protein phosphatase found in many cell types. Injection of inhibitors of calcineurin into postsynaptic neurons in hippocampal slices first suggested that its activity is required for induction of LTD (Mulkey et al. 1994). Both brain isoforms of its catalytic subunit (CNA α and CNA β) bind calcium-CaM and form a heterodimer with the regulatory subunit CNB1, which also binds calcium (Kuno et al. 1992). The requirement of calcineurin for induction of LTD was confirmed in hippocampal slices from mice with a forebrain-specific deletion of CNB1. The magnitude of LTD was reduced in these slices and the frequency threshold for the transition from induction of LTD to induction of LTP was shifted to a lower value (Zeng et al. 2001). These findings led to the hypothesis that the relative activation of CaMKII and calcineurin determines whether LTP or LTD will be induced—a hypothesis that remains to be proven. An implication of this hypothesis is that the steady-state number of AMPARs at a synapse and the steady-state size of the actin cytoskeleton are maintained by a balance of CaMKII and

calcineurin activities. More recent work reveals that the mechanisms controlling the size and strength of the post-synapse involve the action of several signaling enzymes. For example, the broader-specificity protein phosphatases PP1 and PP2A also appear to be necessary for induction of LTP (Mulkey et al. 1993). It is not yet clear how synaptic activity regulates these two phosphatases. Furthermore, protein kinases PKA and PKC, which mediate the action of other major second messenger pathways, can regulate synaptic plasticity when activated by any of several modulatory neurotransmitters, including acetylcholine, biogenic amines, and neuropeptides (Abeliovich et al. 1993; Blitzer et al. 1995; Kennedy et al. 2005).

A possible link between PKA, calcineurin, and PP1 involves a cycle similar to that found in glycogen metabolism in which a small protein inhibitor of PP1 (inhibitor 1) is activated by phosphorylation by PKA and inactivated by dephosphorylation by calcineurin (Huang and Glinesmann 1976; Lisman 1989). However, deletion of inhibitor 1 in the mouse has no effect on LTP in the Schaffer-collateral pathway or in the medial perforant pathway that arises in the entorhinal cortex, but reduces LTP in synapses from the lateral perforant path (Allen et al. 2000). Importantly, the mutation has no effect on performance of spatial learning tasks. Paralogs of inhibitor 1 that regulate PP1 have been identified in the basal ganglia and cerebellum, but none has been found in the hippocampus or cortex. The divergent effects of inhibitor 1 deletion on different synaptic pathways in the hippocampus show that distinct, heterogeneous molecular mechanisms underlie synaptic plasticity both in different dendritic subregions and in different neuronal subtypes. Two other PP1 regulatory proteins, spinophilin and neurabin, can regulate PP1 activity and its association with the actin cytoskeleton. Deletion of spinophilin eliminates LTD induced by low-frequency stimulation of the Schaffer-collateral pathway (Feng et al. 2000). This is consistent with a requirement for PP1 activity but does not shed light on the relationship of calcium influx to PP1 activity during induction of LTD.

Calcineurin is a more selective phosphatase than PP1 or PP2A, requiring upstream motifs, such as LxVP, in its substrates (Grigoriu et al. 2013). Although there is a small amount of overlap in the target sites for CaMKII and calcineurin, many of their target sites do not overlap. Therefore, the shift in phosphorylation status of individual proteins in the spine during and after an influx of calcium is difficult to predict and is still the subject of study.

3.2.3 Modulatory Calcium-Sensitive Enzymes

The calcium/CaM-stimulated adenylyl cyclase isoform AC1 (Wang and Storm 2003), the calcium/CaM-activated

cyclic nucleotide phosphodiesterase PDE1 (Sharma et al. 2006), the calcium/CaM-regulated neuronal nitric oxide synthase isoform (nNOS) (Salerno et al. 2013), members of a family of calcium-sensitive guanine nucleotide exchange factors (GEFs) called RasGRF1 and RasGRF2 (Feig 2011), calcium-sensitive PKC isoforms (Lipp and Reither 2011), and the calcium-dependent protease calpain (Croall and DeMartino 1991) are all present at low and varying levels in spines and can modulate the sensitivity of a synapse to induction of synaptic plasticity or the magnitude and duration of plastic changes. These modulatory mechanisms are outside our scope here, however.

3.3 Scaffold Proteins in the PSD

Signaling enzymes are organized within the PSD by three major classes of scaffold proteins: the PSD95 family (also called MAGUKS [for membrane-associated guanylate kinases]); the SHANK family (for SH3 domain and ankyrin repeat domain proteins, also called ProSAPs [for proline-rich-synapse-associated proteins]); and the Homer family. Additional scaffold proteins, including A-kinase-anchoring protein (AKAP) 79 (see Newton et al. 2015), neurabin, and spinophilin, serve to position enzymes such as PKA, PKC, calcineurin, and PP1 in the PSD. TARPs, also called stargazins, associate tightly with AMPARs and help to control their trafficking into the synapse (Fig. 4) (Hastie et al. 2013).

PSD95 and its three relatives SAP97, SAP102, and PSD93 are centered ~12–20 nm from the postsynaptic membrane (Valtschanoff and Weinberg 2001; Chen et al. 2008) and link glutamate receptors to the PSD structure and to proximal signaling enzymes. Each contains three PDZ domains, an SH3 domain, and a carboxy-terminal degenerate guanylate kinase (GuK) domain, all of which act as protein-docking sites (Kornau et al. 1997; Sheng and Kim 2011). The first two PDZ domains of the PSD95 family can bind to several synaptic membrane proteins via PDZ-binding motifs in their carboxyl termini, including the GluN subunits of NMDARs, TARPs, and neuroligin, a transmembrane adhesion protein. In adult mammals, PSD95 is the most abundant of the family members and associates preferentially with GluN2A, whereas SAP102 predominates in synapses during the first few weeks of development and associates preferentially with GluN2B (Sans et al. 2000). These two scaffold proteins facilitate the developmental shift from NMDARs that contain predominantly GluN2B to the adult form that contains a mixture of GluN2A and GluN2B (Sans et al. 2000; Elias et al. 2006). The transition is important for synaptic signaling because complexes formed by PSD95 and SAP102 contain different sets of signaling enzymes.



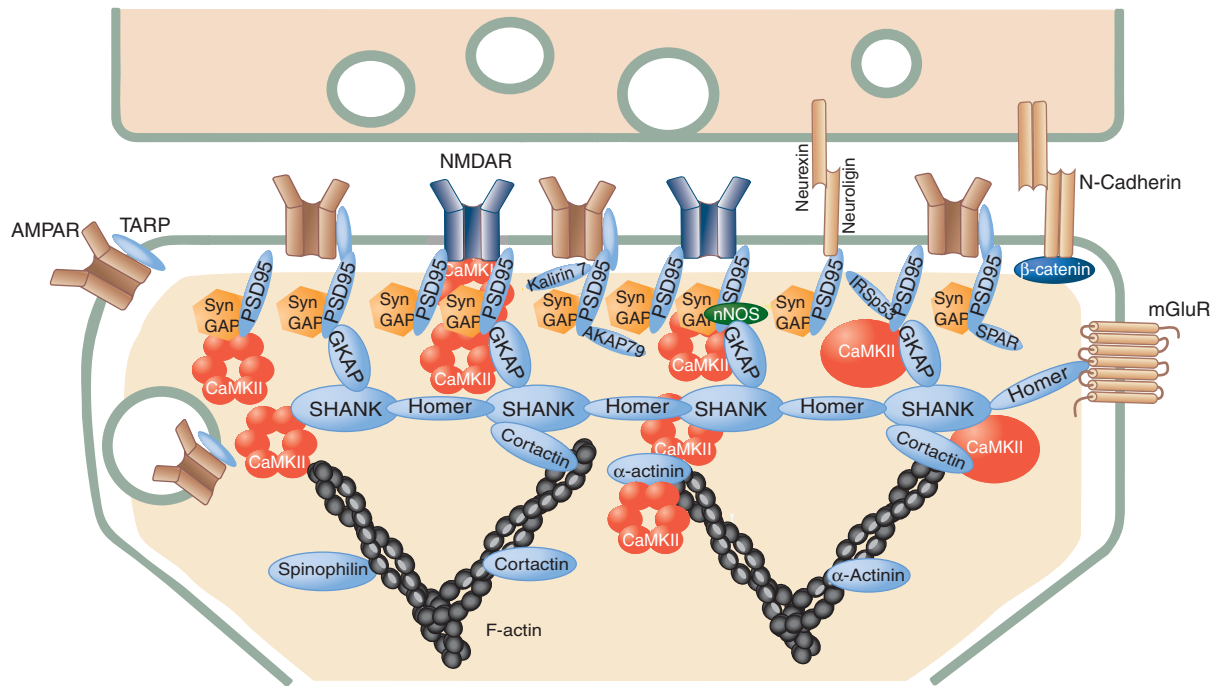


Figure 4. Schematic diagram of the postsynaptic density (PSD) scaffold. NMDARs are immobilized in the post-synaptic membrane by association with PSD95. AMPARs associate with TARPs that bind PSD95. Signaling enzymes are positioned near the receptors by association with PSD95 or other scaffolds. For example, PKA, PKC, and calcineurin bind to AKAP79, which binds to PSD95 and actin in the spine. AMPARs are recruited to the PSD during induction of LTP by diffusional trapping at new docking sites. This diffusional trapping may involve phosphorylation of TARPs by CaMKII, which increases their binding affinity for PSD95. AMPARs are added to or removed from the extrasynaptic plasma membrane pool by exocytosis or endocytosis, respectively. (Modified from Sheng and Kim 2011.)

The PDZ domains can also bind to cytosolic signaling enzymes, including nNOS and synGAP. The carboxy-terminal SH3 domains of the PSD95 family have an unusual split structure created by the insertion of the GuK domain between two α helices (McGee et al. 2001; Tavares et al. 2001). This structure may help regulate oligomerization at the postsynaptic site. The SH3 domain can also bind directly to an AKAP79/150 scaffold protein that localizes PKA, PKC, and calcineurin to the PSD (Gold et al. 2011). Finally, the terminal GuK domain forms a docking site for linker proteins of the GKAP family (for guanylate kinase-associating protein), providing a critical bridge between the PSD95 family and the next layer of postsynaptic scaffold proteins, the SHANK family (Kim et al. 1997; Takeuchi et al. 1997; Naisbitt et al. 1999).

SHANK proteins act as a “scaffold of scaffolds” within the PSD (Fig. 4) (see Sheng and Kim 2011). They form an interacting network centered ~ 25 nm from the postsynaptic membrane and contain multiple, differentially spliced protein-interaction domains (Sheng and Kim 2000; Boeckers et al. 2002). GKAP binds to a PDZ domain in SHANK, linking it to the PSD95 scaffold (Fig. 4). A pro-

line-rich domain in SHANK links it to the actin cytoskeleton via the SH3 domain of cortactin. Cortactin is an F-actin-binding protein that also enhances binding of actin to the ARP2/3 complex, facilitating branching of actin filaments.

A second proline-rich domain in SHANK interacts with the Homer family of scaffold proteins (Fig. 4). Homer proteins form tetramers linked by their carboxy-terminal coiled-coil domains. Each tetramer contains four identical amino-terminal EVH domains that bind to proline-rich sites on three classes of synaptic proteins: SHANK, the cytosolic tails of metabotropic glutamate receptors (mGluRs), and inositol 1,4,5-trisphosphate (IP_3) receptors (IP_3 Rs). Thus, the Homer proteins can form a bridge between mGluRs and internal calcium stores located in vesicles of smooth endoplasmic reticulum that contain IP_3 Rs. Not all spines contain such stores, but when they are present calcium is released into the cytoplasm when IP_3 binds to the IP_3 Rs (Bootman 2012). Finally, the EVH domains link all of the Homer complexes directly to SHANK.

The dense protein network formed by these three families of scaffold proteins is highly dynamic. For example,

PSD95 exchanges between neighboring spines with a median retention time of ~ 30 – 100 min (Gray et al. 2006). Retention time decreases during sensory deprivation (Gray et al. 2006) and also after synaptic activity (Steiner et al. 2008). The composition and size of PSDs thus appear to be regulated in an ongoing way by neural activity. This dynamic scaffold provides the underlying spatial organization for the signaling events that regulate the strength of the synapse (Fig. 4).

Genome-wide association (GWAS) studies have identified mutations or copy-number variants in PSD scaffolds as risk factors for autism spectrum disorders. In humans and in mice, deletion of SHANK scaffold proteins has been repeatedly linked to autistic behaviors (Durand et al. 2007; Herbert 2011; Peca et al. 2011). Similarly, deletion of PSD95 in mice results in behaviors associated with autism, and two human single-nucleotide polymorphisms in the gene encoding PSD95 are associated with characteristics of William's syndrome, a genetic disorder that includes a highly social personality and cognitive difficulties (Feyder et al. 2010).

4 REGULATION OF THE NUMBER OF AMPARs IN A SYNAPSE

One of the two central processes associated with LTP and LTD is regulation of the number of synaptic AMPARs. What is the link between activation of calcium-dependent enzymes and control of the number of AMPARs at a synapse? AMPAR number appears to be regulated at several levels, and we are beginning to unravel some of the signaling pathways involved.

AMPARs are tetramers formed from mixtures of four subunits: GluA1, GluA2, GluA3, and GluA4. In adult forebrain neurons, the most prominent subunit combinations are GluA1-GluA2 (so-called GluA1-2 receptors) and GluA2-GluA3 (so-called GluA2-3 receptors) (Lu et al. 2009). Each subunit can be alternatively spliced to form additional isomers. The tetramer combinations are formed during synthesis in the soma and dendrites, and vesicles containing the receptors are then carried to synaptic sites by constitutive membrane trafficking. One important functional distinction among the isomers is the sequence of the cytoplasmic tail (Malinow and Malenka 2002). GluA1, GluA2L, and GluA4 each have a cytoplasmic tail of ~ 100 residues, whereas GluA2 and GluA3 have shorter tails (~ 50 – 70 residues). The two types of tails each contain distinct phosphorylation sites and distinct PDZ-domain ligands that govern trafficking of the receptor and movement into the synaptic membrane. GluA1-2 receptors are less abundant in PSDs, but they are the receptors that are added to synapses during induction of LTP (Shi et al. 2001).

They are also the receptors that are removed when recently potentiated synapses undergo activity-dependent LTD. In contrast, GluA2-3 receptors cycle constitutively into and out of the PSD, independently of synaptic activity. The GluA2-3 tetramers gradually replace GluA1-2 tetramers during periods of low activity, while maintaining the total steady-state number of AMPA receptors (Shi et al. 2001).

Experiments in which individually tagged surface AMPARs are tracked in real time have provided evidence for a three-step mechanism of activity-induced movement of GluA1-2 tetramers to the synapse. The steps include: (1) exocytosis of AMPARs at extrasynaptic and perisynaptic sites, (2) lateral diffusion into synapses, and (3) rate-limiting diffusional trapping in the PSD (Opazo and Choquet 2011). In this model, activation of NMDARs initiates two parallel signaling cascades: one that facilitates diffusional trapping of AMPARs in the PSD, and a second that increases AMPAR exocytosis perisynaptically (Fig. 5) (Makino and Malinow 2009; Petrini et al. 2009). Thus, a relatively rapid increase in the number of AMPARs at the synapse (less than a minute) is accomplished by diffusional trapping (Opazo et al. 2010); concurrently, the mobile population of AMPARs in the dendritic and perisynaptic region is replenished by exocytotic delivery of new AMPARs over a period of minutes (Makino and Malinow 2009).

Recent work has clarified the mechanism of diffusional trapping. Opazo et al. (2010) found that, in the absence of recent NMDAR activation, AMPARs on the dendritic surface are highly mobile and exchange rapidly between extrasynaptic and synaptic sites. Under these basal conditions, CaMKII is not highly enriched in the PSD (Ding et al. 2013). Activation of NMDARs causes influx of calcium into the spine, which activates CaMKII and causes it to translocate into the PSD, where it phosphorylates several sites on the cytoplasmic carboxyl terminus of the AMPAR-associated TARPs (Tomita et al. 2005). This phosphorylation facilitates binding of the TARPs to PDZ domains on PSD95, and thus restricts diffusion of AMPARs, trapping them in the PSD (Opazo et al. 2010). This diffusional trapping mechanism (see Fig. 5A) may result in the addition of new placeholders (slots) for AMPARs, as suggested by Shi et al. (2001).

New AMPARs are added by exocytosis to the plasma membrane of spines and adjoining dendrites during a period lasting several seconds to a few minutes following induction of LTP (Patterson et al. 2010). The biochemical mechanisms by which activation of NMDARs and subsequent activation of CaMKII lead to increased exocytosis of AMPARs are unknown. One clue is that inhibition of the Ras-ERK1/2 pathway partially blocks the activity-induced increase in exocytosis rate (Patterson et al. 2010).



5 THE ROLE OF SMALL GTPases IN SYNAPTIC PLASTICITY

removal of AMPARs at the membrane, and growth and shrinkage of the spine actin cytoskeleton (Fig. 5). Their activity influences both homeostatic maintenance of synaptic structure and alterations in structure that occur during changes in synaptic strength. However, most of what we

know about their roles is still phenomenological; many mechanistic questions remain about how they are activated in the spine by synaptic activity and which downstream targets drive changes in synaptic structure.

Ras and the downstream MAPKK (MEK), which activates the MAPK ERK1/2, appear to be important for activity-driven increases in the number of synaptic AMPARs during induction of LTP (Fig. 5A). Inhibition of MEK before electrophysiological induction of LTP blocks the normal increase in the number of synaptic AMPARs (Zhu et al. 2002). Furthermore, transfections of primary cultures of hippocampal neurons with wild-type, constitutively active, or dominant-negative forms of Ras for 15 h cause changes in AMPAR-mediated synaptic currents, which is consistent with the notion that endogenous Ras activity contributes to an increase in the number of synaptic AMPARs. Similar experiments with the GTPase Rap suggest that endogenous Rap contributes to a decrease in synaptic AMPAR levels and that inhibition of the downstream MAPK p38 blocks the normal decrease in the number of synaptic AMPARs produced after LTD induction. Because of the relatively long timescale of these experimental manipulations of the Ras and Rap pathways, it has been difficult to separate their effects on homeostatic maintenance of synaptic structure from their roles in acute changes in synaptic strength.

Ras and Rap are activated by several mechanisms in spines (Kennedy et al. 2005). Ras can be activated directly by the calcium/CaM-dependent RasGRF (Feig 2011). A variety of hormones in the brain stimulate receptor tyrosine kinases to activate Ras and Rap. A prominent example in spines is TrkB, which responds to the peptide hormone brain-derived neurotrophic factor (BDNF) and regulates synaptic function in a variety of ways during development and in the adult (Minichiello 2009; Yoshii and Constantine-Paton 2010). Active, phosphorylated TrkB can activate Ras or Rap1, depending on which adapter proteins it binds (York et al. 1998; Stork 2003). In addition, Rap is activated by Epac2, a cAMP-activated Rap GEF (RapGEF), which responds to cAMP formed in the spine by either calcium/CaM-dependent or G-protein-activated adenylyl cyclases (Grewal et al. 1999; Penzes et al. 2011; Sassone-Corsi 2012).

Downstream Ras effectors in spines include Raf1, which initiates the phosphorylation cascade leading to activation of ERK1/2; phosphoinositide 3-kinase (PI3K), an enzyme that forms 3' phosphorylated phosphatidylinositol lipids such as PIP₃, an activator of several membrane-bound signaling proteins (Hemmings and Restuccia 2012); and TIAM1, a membrane-associated RacGEF (Tolias et al. 2005) that links activation of Ras to activation of Rac. The principal Rap effector in spines is B-Raf, a form of Raf that, in neurons, can activate the p38 MAPK cascade as well as the ERK1/2 cascade (Shi et al. 2005).

A second line of evidence for critical roles of Ras and Rap in regulation of synaptic plasticity comes from studies of synGAP (Chen et al. 1998; Kim et al. 1998). SynGAP is abundant in the PSD and its GAP activity against both Ras and Rap is increased by phosphorylation by CaMKII (Oh et al. 2002, 2004; Krapivinsky et al. 2004). Thus, activation of CaMKII by NMDARs produces a decrease in levels of active Ras. However, this occurs with a delay, and because of the presence of calcium/CaM-sensitive RasGRF in the spine, there is first a transient spike in the level of active Ras (Carlisle et al. 2008). Mice lacking synGAP entirely die shortly after birth (Kim et al. 2003; Vazquez et al. 2004). However, mice heterozygous for synGAP survive and exhibit defects in dendritic spine development, a reduced amplitude of hippocampal LTP, and defective learning behavior (Komiyama et al. 2002; Kim et al. 2003; Vazquez et al. 2004; Carlisle et al. 2008). Thus, synGAP is unusual among PSD proteins in that it exhibits a gene-dosage effect; simply reducing the amount of synGAP by half produces a striking phenotype. The importance of synGAP for cognition is underscored by reports that copy-number variants or single point mutations in the gene encoding it are found in ~5% of human patients with nonsyndromic intellectual disability (NSID) and none are found in controls (Hamdan et al. 2009, 2011).

Phenotypes of cultured *synGAP*^{-/-} neurons and *synGAP*^{+/-} heterozygous mice highlight the role of Ras and Rap in modification of the spine actin cytoskeleton. Cultured *synGAP*^{-/-} hippocampal neurons show accelerated spine development, and at maturity their spines are significantly larger than those of wild type (Vazquez et al. 2004). Neurons in intact brains of *synGAP*^{+/-} heterozygotes also have larger spines, display markedly increased activation of Ras and Rac, and increased phosphorylation of cofilin, a regulator of actin polymerization (Carlisle et al. 2008). In neurons cultured from wild-type mice, activation of NMDARs causes transient dephosphorylation of cofilin, most likely by the specific phosphatase Slingshot, which is activated by calcineurin (Carlisle et al. 2008). The dephosphorylation activates cofilin, leading to depolymerization of actin. Subsequent activation of the kinase PAK leads to phosphorylation and activation of LIM kinase, which then phosphorylates and inactivates cofilin, so that the actin cytoskeleton can repolymerize in a new form. In *synGAP*^{-/-} neurons, the basal level of active PAK is elevated, and the initial transient dephosphorylation of cofilin is blunted (Carlisle et al. 2008), apparently tipping the balance toward increasing actin polymerization and larger spines.

SynGAP^{-/-} neurons also have increased levels of synaptic AMPARs (Vazquez et al. 2004; Rumbaugh et al. 2006) and alterations in the regulation of ERK1/2 and p38 (Krapivinsky et al. 2004; Rumbaugh et al. 2006; Carlisle et al.

2008). Thus, it appears that synGAP exerts a carefully balanced restrictive effect both on spine size and on synaptic strength through its regulation of Ras and Rap activity.

The precise timing of activation of Ras and Rap by their various effectors during induction of LTP or LTD has not been studied with precision; thus, we do not yet know the exact mechanisms by which their activation is coordinated to control transient changes during synaptic plasticity versus homeostatic regulation of neuronal excitability.

6 CONCLUDING REMARKS

Synapses in the brain release a number of different neurotransmitters including GABA, acetylcholine, the biogenic amines serotonin, dopamine, and norepinephrine, and a wide variety of peptide neurohormones. However, as far as we now know, it is only excitatory glutamatergic synapses that display the Hebbian form of regulation discussed here. The sculpting of excitatory connections in response to input from the environment is the principal mechanism of memory formation in the brain. As excitatory connections are altered by the Hebbian mechanism, new neural networks are formed, and others are weakened or strengthened. All of the other types of synapses contribute to regulation of Hebbian plasticity and help to determine the conditions under which specific memories are formed, as well as how long the memories will last. We know much less about regulation of the size of the signal and response in these other synaptic types, which are fewer in number and are dispersed among the more abundant glutamatergic synapses, making them less accessible to molecular manipulation or measurement.

Another obstacle to our full understanding of synaptic regulation is the subtle variation in mechanisms of synaptic plasticity in spines of different excitatory neuronal types and among neurons in different brain regions. These differences effectively obscure our vision because most experimental methods either sample blindly from the mixture of synapses in a preparation or record average changes from a poorly understood mixture of synaptic types. As we learn which receptors and enzymes play critical roles in modulating synaptic plasticity, new anatomical techniques such as array tomography (Micheva et al. 2010) and superresolution confocal microscopy (Dani et al. 2010) will help to sort out distinct synaptic types.

A final experimental frontier concerns the delicate timing of synaptic regulation required for healthy brain function. To paraphrase Marc Kirschner describing regulation of embryonic development, “*In the regulation of the brain, as in the theater, timing is everything. Imagine if, one night, the actors in a play were to miss every single cue, delivering each line perfectly, but always too early or too late. The*

evening would be a disaster. The same is true in brain function. Starting at the moment when the environment stimulates sensory endings, neurons in the brain send signals to each other to coordinate sensory perception, emotional and motor responses, and the laying down of memories. Not only do the signals have to be correct, they also must be perfectly timed. Otherwise, disasters like mental illness can result” (paraphrased words in italics) (see kirschner.med.harvard.edu).

A challenge arises from the fact that the biochemical reactions that initiate and sculpt changes in spine structure underlying activity-dependent synaptic plasticity occur in a tiny compartment that contains tens to several hundred copies of the requisite enzymes and effectors. Some of these are immobilized by scaffold proteins that hold them in close proximity to the most important downstream targets. Additional complexity arises from the fact that the initiating calcium signal is always fluctuating, driven by the stuttering kinetics of the NMDAR channel and active calcium pumps in the spine membrane. Thus, time-resolved, high-resolution mass spectroscopy and engineered biochemical real-time sensors, in concert with modeling methods such as those afforded by the spatially accurate, stochastic modeling program MCell (e.g., see Kennedy et al. 2005), will be needed to help resolve rapid, transient molecular events involved in memory formation from those underlying homeostatic mechanisms.

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